



AUG 10 2000

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**PATENT**  
**(5226-00600)**

Application No.: 09/460,045  
Filed: December 13, 1999  
Inventor(s):  
Nesmith, et al.

Title: Computerized Method and System for Trading of Securities

§ Examiner: Unknown  
§ Group/Art Unit: 2768  
§ Atty. Dkt. No: 5226-00600

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, DC 20231, on the date indicated below.

Dan R. Christen

**Name of Registered Representative**

John G.  
Signature

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## **PRELIMINARY AMENDMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir/Madam:

Please enter the following preliminary amendment in the above-captioned case.

Please amend the case as listed below.

**In the Claims:**

Please cancel claims 33-51, 54-61, 68-73, 75-80 without prejudice as the subject matter recited therein. Applicants are not canceling these claims in response to any prior art. Instead, Applicants are canceling these claims for the purpose of simplifying and expediting the examination of the present application. Applicants reserve the right to file one or more continuation applications to pursue claims similar or identical to the claims canceled by this preliminary amendment

## CONCLUSION

Applicants have cancelled claims 33-51, 54-61, 68-73, and 75-80. Therefore claims 1-32, 52-53, 62-67, and 74 remain pending in the application. If the Examiner has any questions, comments or suggestions, the undersigned attorney earnestly requests a telephone conference.

Respectfully submitted,



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Date: Aug 1, 2000